

Docket No. 264624US0X PCT



IFW

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE APPLICATION OF: Volker HENNIG, et al.

SERIAL NO: 10/524,145

GAU: 1745

FILED: February 11, 2005

EXAMINER:

FOR: ELECTRIC SEPARATOR HAVING SHUT-DOWN MECHANISM, PRODUCTION THEREOF AND USE IN LITHIUM BATTERIES

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

**REFERENCES**

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**RELATED CASES**

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

**CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

**DEPOSIT ACCOUNT**

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

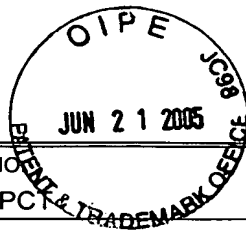
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Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
264624US0X PCTSERIAL NO.  
10/524,145

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Volker HENNIGE, et al.FILING DATE  
February 11, 2005GROUP  
1745

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,299,668 B1	10/09/2001	PENTH, et al.			
	AB	2002/0039648 A1	04/04/2002	HORPEL, et al.			
	AC	2004/0262169 A1	12/30/2004	HYING, et al.			
	AD	2004/0038105 A1	02/26/2004	HENNIGE, et al.			
	AE	2005/0087491 A1	04/28/2005	HENNIGE, et al.			
	AF	6,299,778 B1	10/09/2001	PENTH, et al.			
	AG	6,340,379 B1	01/22/2002	PENTH, et al.			
	AH	2002/0023419 A1	02/28/2002	PENTH, et al.			
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



**LIST OF RELATED CASES**

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent Appl. Publication No.</u>	<u>Inventor/ Applicant</u>
9350-0108-0-X PCT	6,299,668	10/09/01		PENTH, et al.
209394US0	09/891,314	06/27/01	US2002/0039648 A1	HORPEL, et al.
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271320US0- PCT	10/535,400	05/18/05		HENNIGE, et al.

\*Present Application; listed for information  
NFO/hah